

Mifid RTS 28 Report, Professional Client, Debt Instruments
Basso Associates UK Limited

Class of Instrument	Bonds				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Bank Aktiengesellschaft - 7LTWEZYICNSX8D621K86	25.67%	18.58%	-	-	-
UniCredit Markets - 549300OVO8MRA4KTCJ44	9.51%	12.39%	-	-	-
JPMorgan Securities PLC - K6Q0W1PS1L1O4IQL9C32	9.50%	7.96%	-	-	-
Jefferies International - S5THZMDUJCTQZBTRVI98	8.50%	9.73%	-	-	-
Morgan Stanley & Co Intl PLC - 4PQUHN3JPFQFN3BB653	8.11%	7.08%	-	-	-

Mifid RTS 28 Report, Professional Client, Equity Derivatives
Basso Associates UK Limited

Class of Instrument	Swaps and other equity derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Bank Aktiengesellschaft - 7LTFZYICNSX8D621K86	15.47%	8.58%	-	-	-
JPMorgan Securities PLC - K6Q0W1PS1L1O4IQL9C32	14.57%	6.38%	-	-	-
Hobart Capital Markets LLP - 213800O4WPTMQ9RQXQ63	8.77%	37.46%	-	-	-
UniCredit Markets - 549300OVO8MRA4KTCJ44	7.37%	5.18%	-	-	-
Morgan Stanley & Co Intl PLC - 4PQUHN3JPFQFN3BB653	7.26%	3.47%	-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Direct
Basso Associates UK Limited

Class of Instrument	Liquidity Band 5 & 6				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Limited CSSEL - DL6FFRRLF74S01HE2M14	47.98%	41.67%	-	-	-
Goldman Sachs International - W22LROWP2IHZNBB6K528	24.34%	8.33%	-	-	-
Deutsche Bank Aktiengesellschaft - 7LTFWFZYICNSX8D621K86	18.46%	16.67%	-	-	-
Exane, Inc. - 6SDWK6FV8H98XAGSYS75	5.07%	8.33%	-	-	-
UniCredit Markets - 549300OVO8MRA4KTCJ44	3.21%	8.33%	-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Direct

Class of Instrument	Liquidity Band 3 & 4				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Bank Aktiengesellschaft - 7LTFWFZYICNSX8D621K86	38.65%	31.82%	-	-	-
Jefferies International - S5THZMDUJCTQZBTRV198	29.43%	27.27%	-	-	-
UniCredit Markets - 549300OVO8MRA4KTCJ44	19.65%	13.64%	-	-	-
Citigroup Global Markets Limited - XKZZ2JZF41MRHTR1V493	7.75%	13.64%	-	-	-
Goldman Sachs International - W22LROWP2IHZNBB6K528	3.12%	4.55%	-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Direct

Class of Instrument	Liquidity Band 1 & 2				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Bank Aktiengesellschaft - 7LTFWFZYICNSX8D621K86	40.30%	25.81%	-	-	-
Morgan Stanley & Co Intl PLC - 4PQUHN3JPFQFN3BB653	26.24%	12.90%	-	-	-
MKM Partners, LLC - 54930015ODYESNP2QX15	12.42%	41.94%	-	-	-
Jefferies International - S5THZMDUJCTQZBTRV198	7.76%	6.45%	-	-	-
JPMorgan Securities PLC - K6Q0W1PS1L1O4QL9C32	4.71%	3.23%	-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Indirect
Basso Associates UK Limited

Class of Instrument	Liquidity Band 5 & 6				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Hobart Capital Markets Limited - 213800O4WPTMQ9RQXQ63	100.00%	100.00%	-	-	-
			-	-	-
			-	-	-
			-	-	-
			-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Indirect

Class of Instrument	Liquidity Band 3 & 4				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Hobart Capital Markets Limited - 213800O4WPTMQ9RQXQ63	100.00%	100.00%	-	-	-
			-	-	-
			-	-	-
			-	-	-
			-	-	-

Mifid RTS 28 Report, Professional Client, Equities - Indirect

Class of Instrument	Liquidity Band 1 & 2				
Notification if <1 average trade per business day in the previous year	Y				
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
-	-	-	-	-	-

Mifid RTS 28 Report, Professional Client, SFT
Basso Associates UK Limited

Class of Instrument	SFT	
Notification if <1 average trade per business day in the previous year	Y	
Top 5 execution venues ranked in terms of volume (descending order)	Proportion of Volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class
BNP Paribas SA - R0MUWSFPU8MPRO8K5P83	49.14%	41.51%
Citigroup Global Markets Limited - XKZZ2JZF41MRHTR1V493	48.25%	54.72%
Deutsche Bank Aktiengesellschaft - 7LTFWZYICNSX8D621K86	2.62%	3.77%